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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/701,329
	Filing Date	11/27/2000
	First Named Inventor	F. Hock
	Group Art Unit	Unknown
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	RCZ-00/098

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 2nd Preliminary Amendment Response to Defective Translation Statement of Relevance Copy of Missing Reqmts Oath & Declaration Return Receipt Postcard
Remarks Additional Enclosures: Marked up claims (1 sheet) Marked up specification (page 3) Substitute Specification (page 3) IDS Citations		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Dority & Manning Attorneys at Law, PA J. Bennett Mullinax
Signature	
Date	04/16/2001

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 04/16/2001		
Typed or printed name	Peggy S. Baker	
Signature		Date 04/16/2001

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

65.00

**Complete if Known**

Application Number	09/701,329
Filing Date	November 27, 2000
First Named Inventor	F. Hock
Examiner Name	Unknown
Group Art. Unit	Unknown
Attorney Docket No.	RCZ-00/098

**METHOD OF PAYMENT**

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- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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Number

Deposit  
Account  
Name

04-1403

Dority &amp; Manning, Attorneys at Law, P.A.

☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status  
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)

Fee Code (\$)

Fee Description

Fee Paid

101 710 201 355 Utility filing fee

106 320 206 160 Design filing fee

107 490 207 245 Plant filing fee

108 710 208 355 Reissue filing fee

114 150 214 75 Provisional filing fee

SUBTOTAL (1) (\$)

0.00

**2. EXTRA CLAIM FEES**

Total Claims  - 20\*\* =  x  = \$0.00

Independent Claims  - 3\*\* =  x  = \$0.00

Multiple Dependent  = \$0.00

Large Entity Small Entity

Fee Code (\$)

Fee Code (\$)

Fee Description

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 \*\* Reissue independent claims over original patent

110 18 210 9 \*\* Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

0.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid	
105	130	205	65	Surcharge - late filing fee or oath	\$65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	115	55	Extension for reply within first month	
116	390	116	195	Extension for reply within second month	
117	890	117	445	Extension for reply within third month	
118	1,390	118	695	Extension for reply within fourth month	
128	1,890	128	945	Extension for reply within fifth month	
119	310	119	155	Notice of Appeal	
120	310	120	155	Filing a brief in support of an appeal	
121	270	121	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	140	55	Petition to revive - unavoidable	
141	1,240	141	620	Petition to revive - unintentional	
142	1,240	142	620	Unity issue fee (or reissue)	
143	440	143	220	Design issue fee	
144	600	144	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	146	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	149	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	179	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

65.00

**SUBMITTED BY**

Name (Print/Type)

J. Bennett Mullinax

Registration No.  
(Attorney/Agent)

36,221

**Complete (if applicable)**

Telephone

864-271-1592

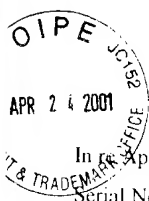
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

#3

In re: Application of: E. Hock  
Serial No.: 09/701,392  
Filed: 11/27/2000  
Confirmation No.: Unknown  
Title: Mixing Fibrous Constituents

Attorney Docket No.: RCZ-00/098  
Date: April 16, 2001  
Art Unit: Unknown  
Our Account No.: 04-1403

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. ☒ Attached hereto is:

- a. ☒ A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):  
7 item(s)
- c. ☒ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: Such explanation is provided in the Search Report from a corresponding application enclosed herewith, along with its translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☒ WITHIN THREE MONTHS of the application filing date or national stage date of entry OR BEFORE the mailing date of a first Office Action on the merits, whichever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. ☐ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
  - i. ☐ Certification per Rule 97(e): OR
  - ii. ☐ Filing Fee per Rule 17(p) ..... \$180.00
- c. ☐ AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
  - i. ☐ Certification per Rule 97(e): AND
  - ii. ☐ Filing fee per Rule 17(p) ..... \$180.00

3. ☐ Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. ☐ That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. ☐ That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_  
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Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

4. [x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

5. [x] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

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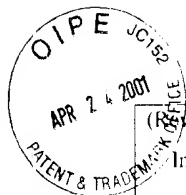
By: J. Bennett Mullinax

Reg. No.: 36,221

Signature: [Signature]

Date: April 16, 2001

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(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number	Serial Number
	RCZ-00/098	09/701,329
	Applicant	
	F. Hock	
	Filing Date	Group
	11/27/2000	Unknown
	Confirmation No. N/A	

NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
 USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
 USSN \_\_\_\_\_, filed \_\_\_\_\_;  
 Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available

U.S. PATENT DOCUMENTS										
EXAMINER INITIALS	PATENTEE NAME			PATENT NUMBER						ISSUE DATE
	Nagao et al			4	7	6	6	9	6	08/1988

FOREIGN PATENT DOCUMENTS										
EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER				PUBLICATION DATE	TRANSLATION			COPY NOTE
							YES	NO	N/A	
	GB	2	0	3	7	07/1980			X	
	EPO	6	2	2	4	11/1994		x		
	DE	3	4	1	2	10/1985		x	x	

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS	COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	
	E. Munzer, European Patent Office, International Search Report, 3 pages	
	H. Auer, European Patent Office, Statement according to Article 35(2) regarding novelty, inventive activity, and commercial applicability; documents and explanations to support this statement. Page 4	
	EPO, European Patent Application 0 392 869 A3, 2 pages	
EXAMINER	DATE CONSIDERED	
Examiner:	initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.	

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